



ORIGINAL LISTING APPLICATION
Exchange-Traded Products

Part I: Issuer Information

A. General Corporation or Trust Information

Corporation or Trust Name: _____

Address of Principal Executive Offices:

Telephone No.: _____ Facsimile No.: _____

State of Incorporation: _____ Date of Incorporation: _____

EDGAR CIK No.: _____ SEC 1933 Act File No.: _____

Foreign Private Issuer (yes/no): _____ SEC 1940 Act File No.: _____

Website address: _____

B. Contact Information

Primary Contact with respect to Listing Application:

Name: _____

Title: _____

Address: _____

Phone: _____ Fax: _____ Email: _____

Outside Counsel Contact with respect to the Listing Application, if any:

Name: _____

Firm Name: _____

Address: _____

Phone: _____ Fax: _____ Email: _____

Part II: Security Information

A. Security(ies) which the Applicant Issuer is applying to list (please attach an exhibit if necessary):

Security Description	Underlying Index and Ticker Symbol	CUSIP Number*	DRS Eligible (yes/no)

* CUSIP Service Bureau can be reached at 212.438.6565

B. Transfer Agent/Registrar:

Name: _____

Address: _____

Phone: _____ Fax: _____ Email: _____

Part III: Type of Listing

A. Please indicate the type of transaction (check all that apply):

Initial Issuance

Listing Transfer

Other (please specify): _____

If listing in connection with a transfer:

Current trading market: _____ Current ticker symbol: _____

B. Expected listing date: _____

C. Investment Banker/Financial Advisor Contact(s), if applicable:

Name: _____

Firm Name: _____

Address: _____

Phone: _____ Fax: _____ Email: _____

Part IV: Securities Exchange Act of 1934 Exemptive Relief

If the issuer will rely on Rule 6c-11 under the Investment Company Act of 1940 upon listing, please indicate such below; alternatively, please indicate the method by which the Issuer will achieve the no-action/exemptive relief necessary to operate as a listed Exchange Traded Product of its type:

All conditions to the no-action/exemptive relief are required to be met upon commencement of trading on NYSE Arca.

The following is a list of commonly cited no action/exemptive relief letters issued by the SEC's Division of Trading and Markets under the Securities Exchange Act of 1934. This list is provided for the convenience of the issuer and is not intended to suggest that the issuer is necessarily entitled to rely on any such referenced letter(s):

A. Exchange Traded Funds

- Letter dated November 21, 2005 (Section 11(d) and Extension of Class Relief)
- Letter dated October 24, 2006 (Class Relief Letter for ETFs)
- Letter dated April 9, 2007 (Class Relief Letter for Fixed Income ETFs)
- Letter dated June 27, 2007 (Combination ETFs)
- Revised Staff Legal Bulletin No. 9 (November 22, 2019)(for Actively Managed ETFs)
- Letter dated May 9, 2008 or Letter dated June 16, 2011 (regarding Section 11(d) for Actively Managed ETFs)(one but not both may be cited)

B. Exchange Traded Notes

- Letter dated May 30, 2006 (iPath ETNs)
- Letter dated July 27, 2006 (iPath ETNs)
- Letter dated October 12, 2007 (Deutsche Bank AG ETNs)

C. Other Security Types

- Letter dated June 21, 2006 (CurrencyShares; Commodity-Based Investment Vehicles)
- Letter dated January 19, 2006 (DB Commodity Index Tracking Fund)

Part V: Additional Information

A. Exchange Requirements for Listing Consideration:

To be considered for listing, the Applicant Issuer must meet NYSE Arca's minimum listing requirements. The Exchange has broad discretion regarding the listing of any security. Thus, the Exchange may deny listing or apply additional or more stringent criteria based on any event, condition, or circumstance that makes the listing of an Applicant Issuer's security inadvisable or unwarranted in the opinion of the Exchange. Such determination can be made even if the Applicant Issuer meets the Exchange's listing standards. In connection with the review of any listing application, the Exchange reserves the right to request such additional public or non-public information or documentation as it may deem necessary and appropriate to make a determination regarding the listing eligibility of the Applicant Issuer's security, including, but not limited to, any material provided to or received from the Securities and Exchange Commission or other appropriate regulatory authority.

B. Regulatory Review

The Applicant must provide the Exchange with a letter signed by an executive officer of the company, certifying that, to the company's knowledge, no officer (as such term is defined by the Securities and Exchange Commission in Rule 16a-1(f) under the Securities Exchange Act of 1934, or any successor rule), board member, or non-institutional shareholder with greater than 10% ownership of the company has been convicted of a felony or misdemeanor relating to financial issues (e.g., embezzlement, fraud, theft) during the past ten years. To the extent that an officer, board member, or non-institutional shareholder with greater than 10% ownership of the company has been so convicted, provide a detailed description of all such matters. In addition to reviewing this letter, the Exchange will review background materials available to it regarding the aforementioned individuals as part of the eligibility review process.

Part VI: Signature

I, _____, as _____
Name of Authorized Executive Officer or Trustee Title of Authorized Executive Officer or Trustee

of _____, do hereby
Full Name of Company or Trust

attest that, at the time of the filing of this application, the Applicant Issuer is deemed to have read and understood the Exchange's listing and corporate governance rules and requirements and, if approved for listing, intends to comply with all applicable listing and corporate governance rules and requirements on an ongoing basis. Further, I certify that to the best of my knowledge and belief, the information contained within this application and any materials provided to each exchange in support of this application are true and correct.

Signature of Authorized Executive Officer or Trustee

Date

Commentary:

1. The Exchange-Traded Products Listing Application must be completed prior to listing securities under any of the following NYSE Arca Rules: 5.2-E(j)(1) (Other Securities); 5.2-E(j)(2) (Equity Linked Notes); 5.2-E(j)(3) (Investment Company Units); 5.2-E(j)(4) (Index-Linked Exchangeable Notes); 5.2-E(j)(5) Equity Gold Shares; 5.2-E(j)(6) (Equity Index-Linked Securities, Commodity-Linked Securities, Currency-Linked Securities, Fixed Income Index-Linked Securities, Futures-Linked Securities and Multifactor Index-Linked Securities); 5.2-E(j)(7) (Trust Certificates); 5.2-E(j)(8) (Exchange-Traded Fund Shares); 8.3-E (Currency and Index Warrants); 8.100-E (Portfolio Depositary Receipts); 8.200-E (Trust Issued Receipts); 8.201-E (Commodity-Based Trust Shares); 8.202-E (Currency Trust Shares); 8.203-E (Commodity Index Trust Shares); 8.204-E (Commodity Futures Trust Shares); 8.300-E (Partnership Units); 8.400-E (Paired Trust Shares); 8.500-E (Trust Units); 8.600-E (Managed Fund Shares); 8.601-E (Active Proxy Portfolio Shares); 8.700-E (Managed Trust Securities); and 8.900-E (Managed Portfolio Shares).

2. Completed applications and supporting documents should be sent to:

NYSE Regulation
Issuer Regulation
11 Wall Street
New York, NY 10005