

## **CLOSING PRICES CLIENT SPECIFICATION**

**NYSE CLOSING PRICES**

**NYSE AMERICAN CLOSING PRICES**

**NYSE ARCA CLOSING PRICES**

**NYSE NATIONAL CLOSING PRICES**

**NYSE CHICAGO CLOSING PRICES**

Version

2.0

Date

February 15, 2024

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## PREFACE

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### DOCUMENT HISTORY

The following table provides a description of all changes to this document.

| VERSION NO. | DATE       | CHANGE DESCRIPTION  |
|-------------|------------|---|
| 1.0         | 01/05/2012 | Approved version for release  |
| 1.0a        | 02/06/2012 | Corrected Section 1.6 (Hours of Operation)  |
| 1.1         | 6/13/2012  | Updated references to NYSE MKT  |
| 1.2         | 03/07/2017 | Rebranded for ICE/NYSE<br>Added clarity to field descriptions<br>Renaming of the Product from TAQ NYSE Closing Prices to Closing Prices<br>Name change from NYSE MKT to NYSE American effective 7/24/2017<br>Added additional Listing Market Exchanges for Nasdaq and BATS  |
| 1.2a        | 02/05/2018 | Updated to include NYSE National  |
| 1.2b        | 07/23/2018 | Updated platform from NYSE FTP Site, ftp2.nyxdata.com to NYSE MFT Site, https://mftus.nyx.com/<br>Correction file name for TAQ NYSE Arca Closing Prices from EQY_US_ARCA_SUM_CLOSE/EQY_US_ARCA_SUM_CLOSE_yyyy/EQY_US_ARCA_SUM_CLOSE_yyyymm/EQY_US_ARCA_SUM_CLOSE_yyyymmdd.txt, .xml, .xls to EQY_US_ALL_ARCA_SUM_CLOSE/EQY_US_ALL_ARCA_SUM_CLOSE_yyyy/EQY_US_ALL_ARCA_SUM_CLOSE_yyyymm/EQY_US_ARCA_SUM_CLOSE_yyyymmdd.txt, .xml, .xls |
| 1.3         | 08/12/2019 | Updated to include NYSE Chicago (Industry Activation 11/2019)   |
| 1.3a        | 05/20/2020 | Added Long-Term Stock Exchange (LTSE) as a valid market in the Listing Market field (Activation Q3 2020)  |
| 2.0         | 02/15/2024 | Updated NYSE logo.<br>Added new file delivery method instructions and directory layout for accessing data via Amazon Simple Storage Service (Amazon S3).<br>Updated SFTP delivery method instructions.<br>Added clarifications (*) for select fields in Section 2.  |

### RELATED DOCUMENTS

[NYSE Symbology Specification](#)

### SUPPORT CONTACT INFORMATION / TECHNICAL SUPPORT

- Telephone: +1 212 896 2830, Option 4
- Email: [support@nyse.com](mailto:support@nyse.com)
- Sales: [DataSales@nyse.com](mailto:DataSales@nyse.com)

## 1. INTRODUCTION

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An instance of the Closing Prices file is produced at the end of every trading day for each NYSE exchange: NYSE, NYSE American, NYSE Arca, NYSE National and NYSE Chicago.

The file includes total volume and summary price information (such as Open/High/Low/Last) for each security traded on the exchange during that trading day.

### 1.1 TIMELINES AND AVAILABILITY

New Closing Prices files are available at the approximate times below. Historical Closing Prices files are available in the date ranges shown. All times are in US Eastern time.

| EXCHANGE      | TYPICAL FILE TIME AVAILABILITY | HISTORY DATES AVAILABLE    |
|---------------|--------------------------------|----------------------------|
| NYSE          | 10:00 PM                       | November 1, 2001 – present |
| NYSE Arca     | 10:00 PM                       | December 5, 2008 - present |
| NYSE American | 10:00 PM                       | December 2, 2008 - present |
| NYSE National | 10:00 PM                       | May 21, 2018 - present     |
| NYSE Chicago  | 10:00 PM                       | November 4, 2019 - present |

### 1.2 FILE FORMAT

Closing Prices files are delivered in three formats:

- XML (.xml)
- Excel (.xls)
- Pipe-delimited ASCII (.txt)

In each of the directories and file names, “YYYY” refers to the generation year of the files, “MM” refers to the generation month of the files, and “DD” refers to the generation day of the files.

### 1.3 ONLINE AND WEB VIEWER

Clients that wish to receive the data via the Online Viewer and by email are required to set up the email distribution settings on <https://dashboard.theice.com/>.

Register on <https://dashboard.theice.com/>, PROPRIETARY MARKET DATA, select Access Data

### 1.4 SFTP DIRECTORY STRUCTURE

The name of the SFTP server is:

[sftp.nyse.com](https://sftp.nyse.com)

In order to establish your connection to the SFTP, NYSE needs your dedicated source IP address(es) and SSH Key(s). Please provide this information on the customer dashboard by visiting [dashboard.theice.com](https://dashboard.theice.com) and navigating to “SFTP Access Settings”. For additional information, please refer to the [NYSE SSH Key Generation Guide](#).

For additional information regarding accessing the NYSE SFTP, please refer to the [NYSE SFTP Access - User Guide](#).

## 1.5 S3 DIRECTORY STRUCTURE

### 1.5.1 S3 Bucket Name

nyse.taq.prod.rawfiles

### 1.5.2 S3 Directory Name

11\_TAQ\_NYSE\_CLOSING\_PRICES

For additional information regarding accessing this data via S3, please refer to the [AWS Access - User Guide](#).

## 1.6 SFTP DIRECTORY STRUCTURE

Historical Closing Prices files are accessible per your entitlements at the locations shown below.

| EXCHANGE      | FILE PATH AND NAME   |
|---------------|--|
| NYSE          | EQY_US_NYSE_SUM_CLOSE/<br>EQY_US_NYSE_SUM_CLOSE_yyyy/<br>EQY_US_NYSE_SUM_CLOSE_yyyymm/<br>EQY_US_NYSE_SUM_CLOSE_yyyymmdd.txt, .xml, .xls                 |
| NYSE Arca     | EQY_US_ALL_ARCA_SUM_CLOSE/<br>EQY_US_ALL_ARCA_SUM_CLOSE_yyyy/<br>EQY_US_ALL_ARCA_SUM_CLOSE_yyyymm/<br>EQY_US_ARCA_SUM_CLOSE_yyyymmdd.txt, .xml, .xls     |
| NYSE American | EQY_US_AMEX_SUM_CLOSE/<br>EQY_US_AMEX_SUM_CLOSE_yyyy/<br>EQY_US_AMEX_SUM_CLOSE_yyyymm/<br>EQY_US_AMEX_SUM_CLOSE_yyyymmdd.txt, .xml, .xls                 |
| NYSE National | EQY_US_NATIONAL_SUM_CLOSE/<br>EQY_US_NATIONAL_SUM_CLOSE_yyyy/<br>EQY_US_NATIONAL_SUM_CLOSE_yyyymm/<br>EQY_US_NATIONAL_SUM_CLOSE_yyyymmdd.txt, .xml, .xls |
| NYSE Chicago  | EQY_US_CHICAGO_SUM_CLOSE/<br>EQY_US_CHICAGO_SUM_CLOSE_yyyy/<br>EQY_US_CHICAGO_SUM_CLOSE_yyyymm/<br>EQY_US_CHICAGO_SUM_CLOSE_yyyymmdd.txt, .xml, .xls     |

## 2. CLOSING PRICES FIELD LAYOUTS

If the field format is Text, the number in parentheses is the maximum length of the field.

Price fields are expressed in dollars, with a decimal point and precision to the penny, e.g. 123.45.

| FIELD ORDER | FIELD NAME               | FORMAT     | DESCRIPTION  |
|-------------|--------------------------|------------|--|
| 1           | <b>Symbol</b>            | Text (18)  | Stock symbol in <a href="#">NYSE symbology</a> (Root Space Suffix)   |
| 2           | <b>CUSIP</b>             | Text (9)   | Nine-character identifier for US securities  |
| 3           | <b>Open</b>              | Price      | The first SIP-qualifying trade price of the day<br>Blank = The security did not open.  |
| 4           | <b>High</b>              | Price      | The highest trade price of the day. If the security did not trade, the High price of the Last Trade date.  |
| 5           | <b>Low</b>               | Price      | The lowest trade price of the day. If the security did not trade, the Low price of the Last Trade date.  |
| 6           | <b>Last</b>              | Price      | The final trade price of the day. If the security did not trade, the Last price on the Last Trade date.  |
| 7           | <b>Net Chg</b>           | Price      | The difference between the Last price and the Last price for the Last Trade date.  |
| 8           | <b>Tot Vol</b>           | Numeric    | Total trade volume on this exchange for this security on this date.<br>0 = The security did not trade.   |
| 9           | <b>Last Trade</b>        | MM/DD/YYYY | The most recent date before today on which this security traded.   |
| 10          | <b>Closing Bid*</b>      | Price      | This exchange's best bid price at the time of the close.   |
| 11          | <b>Closing Bid Size*</b> | Numeric    | The size in shares at the Closing Bid price.   |
| 12          | <b>Closing Ask*</b>      | Price      | This exchange's best offer price at the time of the close.   |
| 13          | <b>Closing Ask Size*</b> | Numeric    | The size in shares at the Closing Ask price.   |
| 14          | <b>Listing Market</b>    | Text (1)   | Exchange where the symbol is listed: <ul style="list-style-type: none"> <li>▪ A – NYSE American</li> <li>▪ L – LTSE</li> <li>▪ N – NYSE</li> <li>▪ P – NYSE Arca</li> <li>▪ Q – NASDAQ</li> <li>▪ V - IEX</li> <li>▪ Z – BATS</li> </ul> |

\* This field has not been populated from August 5, 2019 onward.