

NYSE American Options Proprietary Market Data Fees

As of March 1, 2022

American Options Product¹

Access Fee: \$3,000/month

Redistribution Fee: \$2,000/month

Professional User Fee (Per User): \$50/month

Non-Professional User Fee (Per User)
(Charged to Redistributor): \$1/month

Non-Professional User Fee Cap: \$5,000/month

Non-Display Fee²

Category 1: \$5,000/month³
Category 2: \$5,000/month³
Category 3: \$5,000/month, capped at \$15,000

Non-Display Declaration Late Fee: \$1,000/month⁴

American Options Complex

Access Fee: \$1,500/month

Redistribution Fee: \$1,000/month

Professional User Fee (Per User): \$20/month

Non-Professional User Fee (Per User)
(Charged to Redistributor): \$1/month

Non-Display Fee²

Category 1: \$1,000/month³
Category 2: \$1,000/month³
Category 3: \$1,000/month, capped at \$3,000

Non-Display Declaration Late Fee: \$1,000/month⁴

Multiple Data Feed Fee: \$200/month⁵

NYSE Options Open-Close Volume Summary

End of Day Volume Summary \$750/month

Mid-Month Subscriptions. New subscribers will be charged for the full calendar month for which they subscribe and will be provided NYSE Options Open-Close Volume Summary data for each trading day of the calendar month in which they subscribed.

End of Day Volume Summary Ad-hoc Request (historical data) \$600 per request per month.
An ad-hoc request can be for any number of months beginning with December 2018 for which the data is available.

General

Access fees, professional user fees and non-display fees do not apply to Federal agencies that subscribe to the Products listed on this schedule that include such fees.

Billing Disputes

All disputes concerning fees and credits billed by the Exchange must be submitted to the Exchange in writing and must be accompanied by supporting documentation. All disputes must be submitted no later than ninety (90) days after receipt of a billing invoice.

¹ Includes American Options Top, American Options Deep and American Options Complex products.

² Category 1 Fees apply when a data recipient's Non-Display Use of real-time market data is on its own behalf as opposed to use on behalf of its clients. Category 2 Fees apply when a data recipient's Non-Display Use of real-time market data is on behalf of its clients as opposed to use on its own behalf. Category 3 Fees apply when a data recipient's Non-Display Use of real-time market data is for the purpose of internally matching buy and sell orders within an organization, including matching customer orders on a data recipient's own behalf and/or on behalf of its clients.

³ Data recipients will not be liable for Category 2 Non-Display fees for which they are also paying Category 1 Non-Display fees.

⁴ A data recipient that is paying the Access Fee and that fails to timely complete and submit a Non-Display Use Declaration must pay the Non-Display Declaration Late Fee. With respect to the Non-Display Use Declaration that was due by September 1, 2014, the Non-Display Declaration Late Fee applies to data recipients that have not completed and submitted the Non-

Display Use Declaration by June 30, 2015, and applies beginning July 1, 2015 and for each month thereafter until the data recipient has completed and submitted the Non-Display Use Declaration. With respect to the annual Non-Display Use Declaration due by January 31st of each year beginning in 2016, the Non-Display Declaration Late Fee will apply to data recipients that fail to complete and submit the annual Non-Display Use Declaration by the January 31st due date, and applies beginning February 1st and for each month thereafter until the data recipient has completed and submitted the annual Non-Display Use Declaration.

⁵ The Multiple Data Feed Fee applies to data recipients that take a data feed for a market data product in more than two locations, and applies, with respect to each market data product, to each location, beyond the first two locations, where a data recipient receives a data feed.