

NYSE Pillar Trade Ops Portal Equities User Request and Authorization

Authorization	
Firm acknowledges and agrees that its use of the NYSE Pillar Trade Ops Portal, available at https://www.nyse.com/pillar/trade-ops-portal , (the "tool") is ;(1) governed by the Terms of Use available at https://www.theice.com/publicdocs/nyse/markets/nyse/TOP_Terms_of_Use.pdf and that it must review and accept these Terms of Use before accessing the tool; (2) the individual listed below may access and use the tool on behalf of Firm (a "User"); (3) if a User has left the Firm or is no longer authorized to use the tool, the Firm must immediately notify crs@nyse.com ; and (4) Firm is responsible for the acts of User.	
General Information:	
Firm Name:	_____
Business Address:	_____
City:	_____ State: _____ Zip: _____
Business Phone:	_____ CRD #: _____
User Information:	
First/Last Name:	_____
Global ID (Email Address):	_____
User Type (Choose One):	<input type="checkbox"/> Read Only <input type="checkbox"/> User (Read / Modify)
Firm Type (Choose One):	
<input type="checkbox"/> Entering Firm	By default, all MPIDs are assigned. If only specific MPIDs are required, please specify: _____
<input type="checkbox"/> Floor Broker	Firm Level. By default, all identifiers included.
<input type="checkbox"/> Clearing Firm	By default, all NSCC#s are assigned. If only specific NSCC#s are required, please specify: _____

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Access

Please select each market the above User should have access to:

- NYSE Arca Equities NYSE American Equities NYSE
 NYSE National NYSE Chicago

Please select each module the above User should have access to:

- Firm Sessions Trades Viewer Volumes Viewer
 Pre-Trade Risk Controls

All users will be provided with Auction Imbalance information for applicable markets.

Pre-Trade Risk Controls (Only required if Pre-Trade Risk Controls module selected above.)

Risk User Permissions (Choose One):

- View Only Risk Desk - View & Configure Risk Officer -
View, Configure, Action (Kill Switch & Reinstate)

Authorization and Acceptance*

*Signatory must be on file via the NYSE Pillar Trade Ops Portal - Authorized Administrators form available here:
https://www.nyse.com/publicdocs/nyse/NYSE_Pillar_Trade_Ops_Portal_Authorized_Administrators.pdf

By (Signature) _____ Date: _____

Print Name: _____

Title: _____

Phone: _____ Email: _____

Completed forms should be returned via email to crs@nyse.com.