

NYSE Pillar SubID Request for Pre-Trade Risk Controls

General Information

Firm Name: _____

Business Address: _____

City: _____ State: _____ Zip: _____

Business Phone: _____ CRD #: _____

Contact Name: _____

Email Address: _____

Risk Entities

MPIDs are automatically available in the NYSE Pillar Trade Ops Portal as Risk Entities. Entering Firms have the option to request SubIDs to create additional Risk Entities of MPID + SubID.

Please note, for Binary connections, there is a maximum limit of 35 SubIDs.

NYSE Client Relationship Services (CRS) will provide your list of assigned Sub-IDs to the email address listed above.

Please select each market for which SubIDs are requested:

- | | | |
|---|---|-------------------------------|
| <input type="checkbox"/> NYSE Arca Equities | <input type="checkbox"/> NYSE American Equities | <input type="checkbox"/> NYSE |
| <input type="checkbox"/> NYSE National | <input type="checkbox"/> NYSE Chicago | |
| <input type="checkbox"/> NYSE Arca Options | <input type="checkbox"/> NYSE American Options | |

Entering Firm MPID:

Number of SubIDs Requested:

_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

If necessary, please submit multiple copies of this form.

Authorization and Acceptance

By (Signature) _____ Date: _____

Print Name: _____

Title: _____

Phone: _____ Email: _____

Completed forms should be returned via email to crs@nyse.com.